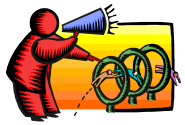
CSI TRAINING

CRITICAL SAFETY ITEMS

THE SUPPLY CHAIN PROCESS

NAVAIR/NAVICP



CSI Listing
Approved Sources
Tech Req'mts

{Baseline}

Supply Centers

Contract Award
QA Provisions

{Complaints, PRs, Contracts}

Manufacturer/ Distributor

Award to Approved Sources Only

PTCs

{Product Conformance}

Receipt Inspection
-New Materiel
-Customer Returns

DLA Depots *{Receipts}*

Inventory
-Stock Screening

Customer

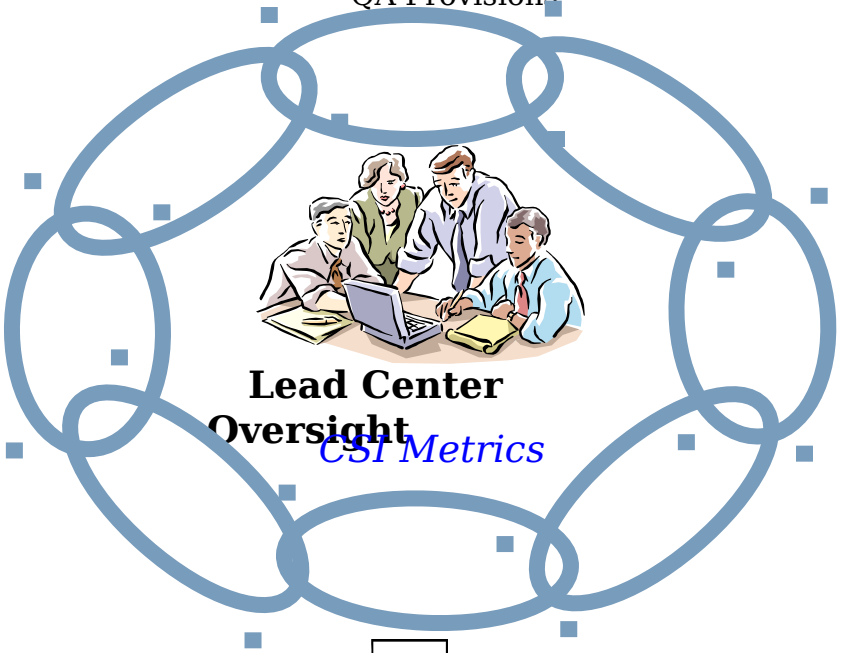
Receive materiel traceable to approved sources

Send Materiel Returns

DRMOs *{Disposals}*

Mutilate Materiel
-Tech Nonconforming
-Untraceable to Approve Sources

To Customer
To DRMO
Returns to Depot



Lead Center Oversight

CSI Metrics

DLA Management of Navy Identified Critical Safety Items

DSCR CSI Team

Marc Organt
Rich White
John Matthews
Stuart Flowers

Background

- DLA Manages Over 4,300 Navy Identified Critical Safety Items
- DLA Is Tasked To Provide Strict Controls Over CSI Procurement, Storage, Receipt and Mutilation
- DLA has developed new technical, quality and procurement procedures for the management of these items

Background Continued

- VADM Lippert Memorandum dtd Jan. 9, 2002 identifies this effort as a must master mission
- DSCR has an oversight role over all DLA ICPs and DLA Depots
- Special Procedures Code (SPC 03), Tables ZQA03, ZQT03 and PROCLTR 01-19 ,01-20 address DLA ICP process

Tech/Qual Highlights

- Source Inspection
- QALIs as applicable
- No MRB
- All Surplus Offers to be referred to ESA
- Traceability Requirements for dealers and distributors
- Three Year Rule
- Supervisory Review of CTDF

Procurement Highlights

- Cannot Use Past Procurement History As Validation of Approved Source
- QALI Notify QAS when Dealer/Distributor is selected for award
- Contract MODs as Required
- Reviewed by the Contracting Officer as applicable

GUIDELINES FOR NAVY CRITIAL SAFETY ITEMS (CSI)

References: A. PROCLTR 01-19 AND 01-20 DATED DECEMBER 5, 2001
B. NAVAIR INSTRUCTION 4200.25D (DRAFT)
C. NAVY CSI LIST
D. DEALER/DISTIBUTOR QALI
E. CSI TABLE

Purpose: These guidelines are designed to inform the workforce what NSN's are considered critical safety items and insure CSI parts are procured from only Navy approved sources using Navy quality assurance provisions and CDRL's (contract data requirements list) if required.

Procedures:

1. **CSI items are identified in SAMMS with code "03" in option "A", SPC field #69 of verb SPTD or SMDF. Do not confuse this with field #58 which is the certified sample indicator.**
2. **The original equipment manufacturer (OEM) is considered and approved source for all CSI items. These NSN's are usually coded with AMC/AMSC codes of "3C". Navy CDRL (contract data and requirements list) and QAP's (quality assurance provisions) are not required. The source should be the same as the "Prime" listed on the Navy's CSI spreadsheet. Contact the Technical Oversight Office if the PID and the Navy CSI spreadsheet are different. The spreadsheet is at website: http://www.dscr.dla.mil/vg/vg.htm and click on CSI, Parts Listing and Sources.**
3. **Source inspection is required for all CSI items. Waiver of source inspection can only be granted by the ESA.**
4. **Competitive CSI items are usually coded with an AMC/AMSC code of "1C". CSI sources other than the OEM must be recognized as an approved source by NAVICP. All non-OEM sources must have been approved through the NAVICP source approval process and manufactured the NSN within the last 3 years. Use SAMM's verb SPTD, Option I, to verify which non-OEM sources have manufactured the CSI NSN within the past 3 years. Contact the Technical Oversight Office if over 3 years. Check AVDM, tech history and the 339 system to insure all sources in the PID are NAVICP approved sources. Contact the Technical Oversight Office if the PID or 339 answer contradicts the Navy CSI spreadsheet. Previous sources which are no longer recognized as approved sources by the Navy should be place in the TGI field with a statement requiring a source approval request (SAR) package in accordance with the NAVICP Source Approval Handbook.**

GUIDELINES FOR NAVY CRITIAL SAFETY ITEMS (CSI) (CON'T)

5. Source control and QPL sources are not required to qualify through the NAVICP source approval process. NAVICP CDRL and quality assurance provisions are not required.
6. Review the latest revision of the OEM specification control drawing (7-2 reference) before you release an MDWL. Sources in the DLSC TIR may no longer be recognized as approved sources. Make the required cataloging changes.
7. CSI Surplus offers must be approved by NAVICP. Send via 339.
8. Identify authorized distributors in the TGI field (option "C").
9. A QALI (quality assurance letter of instruction) is required for contracts with dealers and distributors. See sample QALI. The QALI includes the requirement to examine inventory control records and establish that the items the offeror proposes to furnish under the current award are in the offeror's stock. The QALI will instruct the DCMC office to specifically identify any documentation that the dealer/distributor stated was unobtainable prior to award (e.g., FAT, PLT, CDRL, source inspection); or when a "copy" of documentation was provided prior to award and an "original" was examined at time of source inspection. The other required document is proof of the sale of parts from the approved source to the dealer/distributor. An invoice or packing slip on the approved sources letterhead is required and verified in writing by the DCMC office. See PROCLTR 01-20, clause 11.302-91 (a)(11).
10. Type 5, 8 and 9 ROD's will be generated by DDC as a result of the CSI depot review.
11. Material Review Board (MRB) is not authorized for deviations and waivers. Requests for deviations and waivers shall be forwarded to the ESA on a 339.
12. Any questions concerning approved sources should be directed to DSCR's Critical Safety Item (CSI) focal points Rich White, DSN 695-5532, Marc Organt, DSN 695-4553 and John Matthews, DSN 695-6447.

DEFENSE LOGISTICS AGENCY
DEFENSE SUPPLY CENTER RICHMOND
8000 JEFFERSON DAVIS HIGHWAY
RICHMOND, VIRGINIA 23297-5100

IN REPLY REFER TO DSCR-XXX SAMPLE QALI Jan 30, 2002

MEMORANDUM FOR: DCMA XXXXXXX (Assigned)
615 East Houston Street
P.O. Box 1040
San Antonio, TX. 78292-1040
ATTN: QAR; XXXXX XXXXXX

SUBJECT: Quality Assurance Letter of Instruction (QALI)

Reference: a. Contract: SP0480-XX-M-F701.
b. NSN: 2840-00-945-5071
c. Contractor: Alamo Aircraft Supply Inc. Cage: 55345

1. It is requested that your office validate production inspection records provided by the authorized approved source as required in reference a,b,c.

a. Inspection Method Sheets
b. Process Control Sheets
c. Material Certifications

2. Verify the production inspection records indicate conformance to contract requirements.

3. Requested that your office verify sale of parts was from the approved source(s)identified in the cited contract Acquisition Item Description and delivered by an authorized dealer/distributor prior to material acceptance.

4. Acknowledgment of receipt of this letter is requested within ten working days along with an applicable Autovon/Commercial telephone number, E-Mail of the QAS/POC.

5. If further assistance is required please feel free to contact Mr. Qali King, QAS, DSN: 695-4321, Comm: (804) 279-4321, E-Mail qking@dscr.dla.mil.

Supervisor or QAS
Quality Assurance Supervisor/Specialist
Product Center XXXXXX

DDC CSI CDCS Guide

Document identification: CDCS complaints should be received as Type 5, 8,or 9's and discrepancy code is coded Q7. Page #1 comments should state CSI Inspection IAW DDC Letter, the following step may simplify the complaint resolution.

These steps are provided for assistance only.

1. Review complaint for evidence of initiation as a result of the DDC CSI initiative.

2. Select CTDF Option A second screen field 69. Ensure that SPC 03 is present. This is the key indicator that the NSN is considered a Critical Safety Item.

3. Inquire in the DSCR Elk Net under DSCR organizations http://elknet.dscr.dla.mil/org/org3.htm and select DSCR-VG, Critical Safety Items NSN's. This link will open, the CSI spreadsheet that contains the current list of the Navy approved sources and date approved.

4. Ensure that the NSN depicted in the CDCS complaint is listed in the spreadsheet. If NSN is present, the data depicted in the appropriate columns is the data considered current from a Navy perspective.

5. If the Suspended NSN is **NOT** listed on the most current Critical Safety List Spreadsheet, complete the CDCS record by stating that the NSN is not CSI, no action is required. Return the material to Ready for Issue stock.

6. If the NSN **IS** on the most current Critical Safety Item Spreadsheet but the depot did NOT include Cage, Part Number and any additional data required to make serviceability decision, contact depot and request any additional information deemed necessary. Provide disposition for closure

7. If the NSN **IS** on the most current Critical Safety Item spreadsheet and the source of supply and / or Part Number is not in question (awarded to an approve source). The Quality Assurance Specialist of record must complete the CDCS document and state cited material was manufactured by an approved source. Return stock to condition code "A" ready for issue. Close document to the appropriate DDC or transfer to TDR at DDRV.

8. If the QAS cannot validate material was procured from an approved source or has no traceability to any bare item marking, QAS will coordinate the appropriate disposal disposition instructions with the Item Manager or validate suspect stock through Product Verification Program, DSCR-VC . Satisfactory test results will be coordinated with NAVICP/FST to approve material's usage after validation.

9. If it is determined that the material cannot be used and must be disposed of, mutilation is required. The CDCS record disposition code must be **IY (Items Unsuitable, Destroy)**

PIM 02-02

PROCLTR 01-19, Use of Approved Sources

- **DLAD 11.3 - ACCEPTABLE MATERIAL**
  - **Definitions**
  - **Use of Approved Sources**
    - **Roles**
    - **Prior procurement history**
    - **Pre-award approval/referral requirements**

TYPE OF OFFER	CRITICALITY OF ITEM	CONTRACTING OFFICER (CO) CAN AWARD?	REQUIRES REFERRAL TO TECH/QUAL.?	REQUIRES APPROVAL FROM ESA?	AWARD REQUIRES APPROVAL ONE LEVEL ABOVE CO?
Approved Source Cited in AID Offering "Exact Product" Cited in AID	Noncritical or CIC Blank	Yes	No	No	No
	CAI	Yes	No	No	No
	CSI	Yes	No	No	Yes (Note 1)
Dealer/Distrib. (Nonmanufacturer) Offering "Exact Product"	Noncritical or CIC Blank	Yes (Note 2)	No	No	No
	CAI	Yes (Note 2)	No	No	No
	CSI	Yes (Note 3)	No (Note 4)	No	Yes
Unapproved Manufacturing Source Offering "Exact Product"	Noncritical or CIC Blank	No (Note 5)	Yes	(Note 6)	No
	CAI	No (Note 5)	Yes	Yes	No
	CSI	No (Note 5)	Yes	Yes	Yes
Any Source Offering "Alternate Product"	Noncritical or CIC Blank	No	Yes	(Note 6)	No
	CAI	No	Yes	Yes	No
	CSI	No	Yes	Yes	Yes

PIM 02-03

PROCLTR 01-20, Management of CSIs

Pre-Award Management of CSIs

- **Guidance**
- **Insert in the solicitation these DLAD clauses:**
  - **52.211-9005**
  - **52.211-9006**
  - **52.211-9007**

PIM 02-04

PROCLTR 01-20, Management of CSIs

Post-Award Management of CSIs

- **Review all active purchase orders/contracts**
- **Item must be from an approved source**

PIM 02-04, Continued

- **Some alternatives----------**
  - **Issue stop work order if needed**
  - **Do not allow orders to be generated**
  - **Obtain documentation**
  - **MODIFY existing purchase orders/contracts**
  - **Delete unapproved items**
  - **Cancel/terminate contract**

Critical Safety Item, Web Site

- Critical Safety Items
  - **Commercial Determination**
  - **ALRE**
  - **IRPOD**
  - **RPPOB**
  - **GIDEP**
  - **Professional Development (Intern Training)**
  - **Surplus Offers**

For Further Information, Contact Your Local CSI POCs

- Tech Oversight CSI POCs
  - Marc Organt x4553
  - Rich White x5532
  - John Matthews x6447
- Procurement CSI POC
  - Stuart Flowers x5747